
State: District of Columbia **First Filing Company:** Illinois National Insurance Co., ...
TOI/Sub-TOI: 17.0 Other Liability-Occ/Claims Made/17.0019 Professional Errors and Omissions Liability
Product Name: Specialty Risk Protector Program / 165-000-174
Project Name/Number: Specialty Risk Protector Program - SME Endorsements/AIG-19-EO-03

Filing at a Glance

Companies: Illinois National Insurance Co.
National Union Fire Insurance Company of Pittsburgh, Pa.
Product Name: Specialty Risk Protector Program / 165-000-174
State: District of Columbia
TOI: 17.0 Other Liability-Occ/Claims Made
Sub-TOI: 17.0019 Professional Errors and Omissions Liability
Filing Type: Form
Date Submitted: 01/09/2020
SERFF Tr Num: AGNY-132215911
SERFF Status: Submitted to State
State Tr Num:
State Status:
Co Tr Num: AIG-19-EO-03
Effective Date: On Approval
Requested (New):
Effective Date: On Approval
Requested (Renewal):
Author(s): Angela Caraballo
Reviewer(s):
Disposition Date:
Disposition Status:
Effective Date (New):
Effective Date (Renewal):

State: District of Columbia **First Filing Company:** Illinois National Insurance Co., ...
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Project Name/Number: Specialty Risk Protector Program - SME Endorsements/AIG-19-EO-03

General Information

Project Name: Specialty Risk Protector Program - SME
Endorsements

Project Number: AIG-19-EO-03

Reference Organization:
Reference Title:
Filing Status Changed: 01/09/2020

State Status Changed:

Created By: Angela Caraballo

Corresponding Filing Tracking Number:

Status of Filing in Domicile: Pending

Domicile Status Comments: Filing is being submitted simultaneously on a countrywide basis.

Reference Number:

Advisory Org. Circular:

Deemer Date:

Submitted By: Angela Caraballo

Filing Description:

The referenced companies submit for your review and approval three (3) endorsements to be used with their Specialty Risk Protector Program currently on file with your Department under Company Filing No. AIG-14-EO-01, Serff Tracking No. AGNY-129430873.

Please refer to the attached form listing for information about the forms included in this submission.

Company and Contact

Filing Contact Information

Angela Caraballo, Filings Analyst
80 Pine Street
13th Floor
State Filings Division
New York, NY 10005

Angela.Caraballo@AIG.com
718-250-1732 [Phone]

Filing Company Information

Illinois National Insurance Co.
175 Water Street
New York, NY 10038
(212) 458-5000 ext. [Phone]

CoCode: 23817
Group Code: 12
Group Name:
FEIN Number: 37-0344310

State of Domicile: Illinois
Company Type:
State ID Number:

National Union Fire Insurance
Company of Pittsburgh, Pa.
175 Water Street
New York, NY 10038
(212) 458-5000 ext. [Phone]

CoCode: 19445
Group Code: 12
Group Name:
FEIN Number: 25-0687550

State of Domicile:
Pennsylvania
Company Type:
State ID Number:

Filing Fees

Fee Required? No

Retaliatory? No

Fee Explanation:

State: District of Columbia

First Filing Company:

Illinois National Insurance Co., ...

TOI/Sub-TOI: 17.0 Other Liability-Occ/Claims Made/17.0019 Professional Errors and Omissions Liability

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Form Schedule

Item No.	Schedule Item Status	Form Name	Form Number	Edition Date	Form Type	Form Action	Action Specific Data	Readability Score	Attachments
1		COOPERATION CLAUSE AMENDED ENDORSEMENT (PERCENTAGE OF DEFENSE COSTS ONLY – SPL S&P MEDIA)	131865	(2/19)	END	New			COOPERATION CLAUSE AMENDED ENDT PERCENTAGE OF DEFENSE COSTS ONLY - SPL, SP, MEDIA 131865(2-19).pdf
2		CYBEREDGE LOSS PREVENTION SERVICES ENDORSEMENT (SME)	135001	(12/19)	END	New			CYBEREDGE LOSS PREVENTION SERVICES ENDORSEMENT (SME) 135001(12-19).pdf
3		OPTIONAL DISCOVERY PERIOD AMENDED ENDORSEMENT (SIXTY DAYS TO ELECT)	128231	(2/18)	END	New			OPTIONAL DISCOVERY PERIOD AMENDED ENDORSEMENT Sixty Days to Elect 128231(2-18).pdf

Form Type Legend:

ABE	Application/Binder/Enrollment	ADV	Advertising
BND	Bond	CER	Certificate
CNR	Canc/NonRen Notice	DEC	Declarations/Schedule
DSC	Disclosure/Notice	END	Endorsement/Amendment/Conditions
ERS	Election/Rejection/Supplemental Applications	OTH	Other

This endorsement, effective at 12:01 AM

forms a part of

Policy number

Issued to:

By:

Product Name:

**COOPERATION CLAUSE AMENDED ENDORSEMENT
(PERCENTAGE OF DEFENSE COSTS ONLY – SPL, S&P, MEDIA)**

**This endorsement amends the SPL Coverage Section,
the Security and Privacy Coverage Section
and the Media Content Coverage Section.**

In consideration of the premium charged, it is hereby understood and agreed that in Clause 1. **INSURING AGREEMENTS** of each of the **SPL Coverage Section**, the **Security and Privacy Coverage Section** and the **Media Content Coverage Section**, paragraph (c) of the section entitled "**DEFENSE**" is deleted in its entirety and replaced as follows:

- (c) The **Insurer's** duty to defend ends if an **Insured** refuses to consent to a settlement that the **Insurer** recommends pursuant to the **SETTLEMENT** provision below and that the claimant will accept. As a consequence of such **Insured's** refusal, the **Insurer's** liability shall not exceed the amount for which the **Insurer** could have settled such **Claim** had such **Insured** consented, plus **Defense Costs** incurred prior to the date of such refusal, plus [PERCENTAGE OF DEFENSE COSTS]% of the **Defense Costs** incurred with the **Insurer's** prior written consent after the date of such refusal. This provision shall not apply to any settlement where the total incurred **Loss** does not exceed the applicable Retention amount.

ALL OTHER TERMS, CONDITIONS AND EXCLUSIONS REMAIN UNCHANGED.

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AUTHORIZED REPRESENTATIVE

This endorsement, effective at 12:01 AM

forms a part of

Policy number

Issued to:

By:

Product Name:

**CYBEREDGE LOSS PREVENTION SERVICES ENDORSEMENT
(SME)**

In consideration of your purchase of this policy, it is hereby understood and agreed that the **Named Entity** is eligible to enroll for **Loss Prevention Services**. It is solely at the discretion of the **Named Entity** to enroll in the **Loss Prevention Services**, and such enrollment shall have no impact on the premium charged under this **Policy**. The **Named Entity** can begin the enrollment process by visiting the following site: www.aig.com/CyberLossControl-SME.

For purposes of this endorsement, "**Loss Prevention Services**" means cyber risk management tools and services made available to the **Named Entity** as further described at the link set forth above.

The **Insurer** may modify (by adding, removing or replacing a tool or service) or discontinue the **Loss Prevention Services** at any time. The **Insurer** may partner with third party vendors to provide any or all of the **Loss Prevention Services** to the **Named Entity**.

The **Named Entity** is only eligible for **Loss Prevention Services** during the **Policy Period**.

ALL OTHER TERMS, CONDITIONS AND EXCLUSIONS REMAIN UNCHANGED.

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**OPTIONAL DISCOVERY PERIOD AMENDED ENDORSEMENT
(SIXTY DAYS TO ELECT)**

This endorsement amends the General Terms and Conditions.

In consideration of the premium charged, it is hereby understood and agreed that in Clause 9. **DISCOVERY** of the **General Terms and Conditions**, the last paragraph in subpart (b) is deleted in its entirety and replaced with the following:

The right to purchase an **Optional Discovery Period** shall terminate unless written notice of election, together with any additional premium due, is received by the **Insurer** no later than sixty (60) days after the effective date of the cancellation, nonrenewal or **transaction**.

ALL OTHER TERMS, CONDITIONS AND EXCLUSIONS REMAIN UNCHANGED.

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State:	District of Columbia	First Filing Company:	Illinois National Insurance Co., ...
TOI/Sub-TOI:	17.0 Other Liability-Occ/Claims Made/17.0019 Professional Errors and Omissions Liability		
Product Name:	Specialty Risk Protector Program / 165-000-174		
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Supporting Document Schedules

Bypassed - Item:	Readability Certificate
Bypass Reason:	Not Applicable
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	Consulting Authorization
Bypass Reason:	Not Applicable
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	Copy of Trust Agreement
Bypass Reason:	Not Applicable
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	Expedited SERFF Filing Transmittal Form
Bypass Reason:	Not Applicable
Attachment(s):	
Item Status:	
Status Date:	

Satisfied - Item:	Form Listing
Comments:	
Attachment(s):	CW Forms Listing - AIG-19-EO-03.pdf
Item Status:	
Status Date:	

AIG-19-EO-03 Form Listing

	Form Title	Form (Edition)	Form Type	New or Replacement	Mandatory or Optional	Restricts, Broadens or Other	Rate or Premium Impact	Description of Form
1	COOPERATION CLAUSE AMENDED ENDT PERCENTAGE OF DEFENSE COSTS ONLY - SPL, SP, MEDIA	131865 (2/19)	E	New	Optional	Broadens	No	Amends the Defense provision (aka Cooperation or Hammer Clause) in the SPL, S&P and Media sections to replace the percentage of defense costs.
2	CYBEREDGE LOSS PREVENTION SERVICES ENDORSEMENT (SME)	135001 (12/19)	E	New	Optional	Other	No	Confirms eligibility to small business accounts to enroll in Loss Prevention Services and provides related instructions. (Available for all accounts with qualifying premium).
3	OPTIONAL DISCOVERY PERIOD AMENDED ENDORSEMENT SIXTY DAYS TO ELECT	128231 (2/18)	E	New	Optional	Broadens	No	Amends Discovery (ERP) Provision. Provides 60 days to purchase an optional discovery period.

A = Application

Yes or No

D = Declarations

E = Endorsement

P = Policy

O = Other (Please explain)